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10269-13CON  
(HSX/001 CON1)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Timothy Maxwell Keiser, et al.  
Application No. : 09/465,607 Confirmation No. : 9080  
Filed : December 17, 1999  
For : COMPUTER-IMPLEMENTED SECURITIES  
TRADING SYSTEM WITH A VIRTUAL  
SPECIALIST FUNCTION  
Group Art Unit : 3628  
Examiner : Graham, Clement B.

New York, New York 10020  
June 1, 2004

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with 37 C.F.R. §§ 1.56 and 1.97,  
applicants hereby make the following documents of record in  
the above-identified patent application:

Other Documents

FILM FINANCES, INC. The World Leader in Completion  
Guarantees. October, 1999 Internet print-out

FILM FINANCES, INC. The World Leader in Completion  
Guarantees. Sample Completion Guaranty; August, 2000  
Internet print-out

Copies of the documents are provided.

This Statement is being submitted after the mailing of a First Office Action on the merits. Accordingly, applicants have enclosed a check in the amount of \$180.00 in payment of the fee for submission of an Information Disclosure Statement in accordance with 37 C.F.R. § 1.97 (c) (2). The Director is hereby authorized to charge payment of any additional fees required in connection with this Information Disclosure Statement to Deposit Account No. 06-1075.

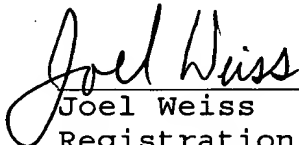
It is respectfully requested that these documents be (1) fully considered by the Patent and Trademark Office during examination of this application; and (2) printed on any patent which may issue on this application.

Applicants request that a copy of Form PTO-1449, as considered and initialed by the Examiner, be returned with the next communication.

A duplicate copy of this Supplemental Information Disclosure Statement is enclosed herewith.

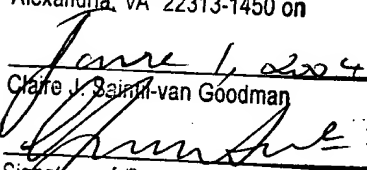
Consideration of the foregoing in relation to this patent application is respectfully requested.

Respectfully submitted,

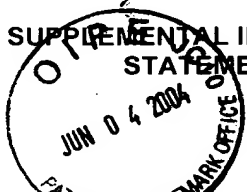
  
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I hereby certify that this  
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Alexandria, VA 22313-1450 on

  
Clare J. Saint-van Goodman

Signature of Person Signing

<b>FORM PTO-1449</b> <b>U.S. DEPARTMENT OF COMMERCE</b> <b>PATENT AND TRADEMARK OFFICE</b> 	<b>SUPPLEMENTAL INFORMATION DISCLOSURE</b> <b>STATEMENT BY APPLICANT</b>	<b>ATTY. DOCKET NO.</b> 10269-13CON (HSX/001CON1)	<b>APPLN. NO.</b> 09/465,607
		<b>APPLICANTS</b> Timothy Maxwell Keiser, et al.	<b>CONF. NO.</b> 9080
		<b>FILING DATE</b> December 17, 1999	<b>GROUP ART UNIT</b> 3628

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER INITIAL	
	FILM FINANCES, INC. The World Leader in Completion Guarantees. October, 1999 Internet print-out
	FILM FINANCES, INC. The World Leader in Completion Guarantees. Sample Completion Guaranty; August, 2000 Internet print-out

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EXAMINER

DATE CONSIDERED

**EXAMINER:** Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not conformance and not considered. Include copy of this form with next communication to applicant.